IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FILING COMPLETION UNDER RULE 53(f)

SECTORIAN BOX SEZ

In re PATE AT APPLICATION of

Keith D. ALLEN et al. (V) hyentor

Filed:

Appin. No.: 09/900,754 July 6, 2001

Title:

Transgenic Mice Containing Tryptase Gene

Disruptions

Docket/Order No.

R-372

Deposit Account No.

50-1271

Customer No.

26619

Date: September 28, 2001

Commissioner for Patents **BOX MISSING PARTS** Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

- Enclosed is a copy of the Notice to File Missing Parts. 1. 🗷
- 2. 🗷 Signed Declaration enclosed ☑ Original / ☐ facsimile/copy
- Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned. 3. ⊠
- Enclosed are a set of formal drawings with the margins corrected. 4. ×
- Enclosed is a printed sequence listing and a diskette containing same. 5. ×
- Applicants state that the content of the sequence listing information recorded in computer readable form is 6. × identical to the written sequence listing and includes no new matter, as required by 37 CFR 1.821.
- Applicants hereby claim "small entity" status in accordance with 37 CFR § 1.27. 7. ເ⊠

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

7. Basic Filing Fee					\$355.	\$	\$355.00	201
8. Total Claims	30	minus 20 =	10	x	9.	+	90.00	203
9. Independent Claims	12	minus 3 =	09	х	40.	+	360.00	202
10. Multiple Dependent Claims					135.	+	135.00	
11. Surcharge for filing Declaration/filing fee late					65.	+	65.00	205
2. FILING FEE: ☐ CHARGE AUTHORIZATION ☑ ENCLOSED = \$							1005.00	
13. Original due date: October 2	, 2001					•		
14. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					55. / 19 445. / 69		.00	
15. If Assignment is included, add recording fee					\$ 40.	+	40.00	581
16. TOTAL FEE (enclosed): =						=	\$1045.00	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

DELTAGEN, INC.

1003 Hamilton Avenue Menlo Park, CA 94025 Tel: (650) 463-5836 Fax: (650) 752-0202

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on September 28, 2001.



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/900.754

07/06/2001

Keith D. Allen

R-372

DELTAGEN, INC.

1003 Hamilton Avenue

Menlo Park, CA 94025

RECEIVED AUG 0 2 2001

#4

CONFIRMATION NO. 4570

FORMALITIES LETTER

OC000000006375323

Date Mailed: 08/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/04/2001 BABRAHA1 00000121 09900754

01 FC:201 02 FC:205 03 FC:202 04 FC:203 05 FC:204 FILED UNDER 37 CFR 1.53(b) 11/16/2001 YGIZAW

00000003 501271

09900754

Filing Date Grantett:201

11.00 CH

4.00 UP

140,00 tip An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional@laim_fee(s)) forthisapplication is \$558. 10/04/2001 BABRAHA1 00000121 09900754

• \$63 for 7 total claims over 20.

■ \$360 for 9 independent claims over 3 1

4.00 OP

- \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 978.

Adjustment date: 11/16/2001 YGIZAW 10/04/2001 BABRAHA1 00000121 09900754 -4.00 OP 07 FC:998

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE